

NASDAQ CONGRATULATES
HALO INVESTING
ON THEIR RECENT FUNDING!

HALO

MEMBER SPOTLIGHT

HALO INVESTING

January 2023



U.S.-U.A.E.
Business Council



About Halo Investing

Halo Investing (Halo) is an award-winning technology marketplace for protective investment solutions such as structured notes, annuities, and buffered ETFs. Halo's global marketplace platform automates the issuance process for protective investment product manufacturers and digitally connects them to financial advisors across five continents through a lens of transparency, efficiency, and analytics.

Headquartered in Chicago, with offices in Abu Dhabi and Zürich, Halo was co-founded by Biju Kulathakal and Jason Barsema in 2015 with a mission that focuses on putting "impact before profit" by providing access to investment solutions previously unavailable to most investors. Through Halo, banks, insurance companies, sovereign funds, institutional investors, and financial advisors can easily access and issue structured notes, market-linked CDs, buffered ETFs, and annuities on a single platform for the very first time. Halo's core mission is to spread financial literacy and equality of market access, partnering with over 50 of the world's leading financial institutions to deliver protective investing solutions to investors at every level.

Halo is one of America's fastest growing FinTech firms, having recently raised over \$100+ million from top institutional investors such as Mubadala-backed Abu Dhabi Catalyst Partners, Allianz, Owl Capital, Koch Industries, and William Blair, while receiving a growing number of honors such as Fast Company's Ten Most Innovative Companies of 2021.



Key Partners

Bank of Montreal (BMO), BNY Mellon Pershing, Allianz, William Blair & Company, Absa Group, and many others.



Notable U.S.-U.A.E. Partnerships

Abu Dhabi Catalyst Partners (ADCP), a Mubadala Capital investment fund, was the largest investor in Halo's \$100 million Series C equity round. ADCP partners with businesses that would benefit from establishing a strategic presence at Abu Dhabi Global Market (ADGM). Halo opened its MEA headquarters on September 6, 2022, at ADGM's Al Sarab Tower to increase its footprint in the GCC's growing protective investing and FinTech/WealthTech landscape.

FAST FACTS



Launched in the U.S. **2015** Launched internationally **2018**



U.S. Headquarters **Chicago, IL** Offices in **Abu Dhabi & Zürich**



Raised in Series C Funding in 2021
USD \$100+ Million



Number of employees around the world
200+



Number of global banks & insurance partners
50+



First completely independent multi-issuer protective investing platform, providing clients across five continents with **access to the hyper-exclusive \$7 trillion structured products market**



Fast Company's Ten
Most Innovative Companies of 2021



Generated in transactions/gross product sales
USD \$7 Billion in 2021



Leadership



Jason Barsema
Co-Founder & President



Biju Kulathakal
Co-Founder & CEO



Sadiq Hussain
SEO, Halo Investing MEA



Contact & Links

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Halo's LinkedIn Profile

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Halo's Twitter Profile

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